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31047 U.S. PRO

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 5646-54  
DATE: June 26, 2001

10/92/90  
09/891906  
31047 U.S. PRO

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))**

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☒ a patent application  
☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP) Application of prior application no.: \_\_\_\_\_; filed \_\_\_\_\_, the disclosure of which is hereby incorporated herein by reference in its entirety.  
☒ and claims the benefit of Provisional Application No. 60/\_\_\_\_\_; filed June 15, 2001.

Inventor(s) or Application Identifier:

**Robert J. Proebsting**  
**Morgan Hill, California**

Entitled: **OVERVOLTAGE PROTECTION CIRCUITS**

**Correspondence Address:**



Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 20 pages of Specification (including 22 claims)
3. ☒ 3 sheets of Informal Drawings (35 USC 113)
4. ☒ Oath or Declaration
  - a. ☒ newly executed (*original or copy*)
  - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 5 Below]
  - c. ☐ **DELETION OF INVENTOR(S)** (*Signed statement deleting inventor(s) named in the prior application*)
5. ☒ Application Data Sheet. See 37 CFR 1.76
6. ☐ Incorporation By Reference (*useable if box 4b is checked*)

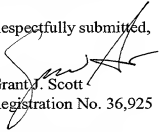
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Microfiche Computer Program (*Appendix*)

8. ☒ Assignment papers (cover sheet(s) and document(s))  
 9. ☐ Applicant claims small entity status. See 37 CFR 1.27.  
 10. ☒ Information Disclosure Statement, PTO-1449, and 18 references cited  
 11. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)  
 12. ☐ English Translation Document  
 13. ☐ Certified Copy of Application No. ; Filed  
 14. ☐ Sequence Listing/ Sequence Listing Diskette  
     a. ☐ computer readable copy  
     b. ☐ paper copy  
     c. ☐ statement in support  
 15. ☐ An Associate Power of Attorney  
 16. ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)  
 17. ☒ Other: Nonpublication Request under 35 U.S.C. § 122(b)(2)(B)(i)  
 The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate Fee	Large Entity Rate Fee
BASIC FEE			\$355.00	\$ 710.00
TOTAL CLAIMS	22 - 20 =	2	x 09 = \$	x 18 = \$36.00
INDEP CLAIMS	4 - 3 =	1	x 40 = \$	x 80 = \$80.00
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 135 = \$	+ 270 = \$
If the difference in Col. 1 is less than zero, Enter "0" in Col. 2			Total \$	Total \$826.00

- ☐ A check in the amount of \$ to cover the filing fee is enclosed.  
☒ A check in the amount of \$866.00 is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).  
☐ Please charge my Deposit Account No. 50-0220 in the amount of \$ .  
☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 50-0220:  
     a. ☒ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.  
     b. ☒ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
 Grant J. Scott  
 Registration No. 36,925

#### CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL 733098559 US

Date of Deposit: June 26, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231.

  
 Candi L. Riggs

Date of Signature: June 26, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor **Robert J. Proebsting**

Title **OVERVOLTAGE PROTECTION CIRCUITS**


Atty Docket Number **5646-54**

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

June 26, 2001

Date

  
Signature

Grant J. Scott, Reg. No. 36,925

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement. This collection of information is required by 37 CFR 1.213(e). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Robert J. Proebsting  
Serial No.: To Be Assigned  
Filed: Concurrently Herewith  
For: OVERVOLTAGE PROTECTION CIRCUITS

June 26, 2001

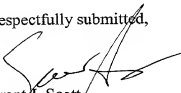
BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231

**SUBMISSION OF APPLICATION DATA SHEET PURSUANT TO 37 C.F.R §1.76**

Sir:

Applicant submits herewith an application data sheet pursuant to 37 C.F.R. §1.76 for the above-identified patent application.

Respectfully submitted,

  
Grant J. Scott  
Registration No. 36,925

**Correspondence Address:**



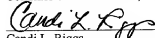
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Candi L. Riggs

Date of Signature: June 26, 2001